03-08-06



Attorney Docket No. 1999/US Express Mail Label No. EV 759036199 US

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicant

Teruo Umemoto

Appln. No.

09/939,345

Filed

V

: August 24, 2001

Title

: POLYMER MATERIALS FOR

**USE IN AN ELECTRODE** 

Confirmation No: 3613

Group Art Unit: 1746

Examiner: Crepeau, Jonathan

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97(c)(1), 1.97(e)(2), and 1.98

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Examiner is requested to consider the reference noted on the enclosed Form PTO/SB/08a during examination of the above-identified patent application. This reference is submitted for the Examiner's consideration and is submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting this reference, no representation is made or implied that the reference is or is not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality. Pursuant to the U.S. Patent and Trademark Office's Consolidated Patent Rules of February 1, 2005, waiver of the requirements of 37 C.F.R. § 1.98(a)(2)(ii), a copy of the U.S. patent reference is not provided, unless required by the Office.

The reference cited on the attached PTO/SB/08a was cited by an Examiner in an Office action dated January 23, 2006 in possibly related application no. 10/964,147, filed October 12, 2004.

This Supplemental Information Disclosure Statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113 or (2) a notice of allowance under 37 C.F.R. § 1.311. Accordingly, this Information Disclosure Statement requires a statement according to 37 C.F.R. § 1.97(e).

# **Statement Under 37 C.F.R. § 1.97(e)(2)**

No item of information contained in the Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in the Supplemental Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Supplemental Information Disclosure Statement.

The Applicant believes no fees or petitions are due with this filing. However, should any such fees or petitions be required, please consider this a request therefor and authorization to charge Deposit Account No. 04-1415 as necessary.

If the Examiner has any questions, please contact the undersigned attorney.

Dated: 3/6	7/06
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Respectfully submitted,

Paul J. Prendergast, Registration No. 46,068

USPTO Customer No. 20686

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Tel: 303-629-3400 Fax: 303-629-3450



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# **CERTIFICATE OF MAILING BY EXPRESS MAIL**

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

- 1. Supplemental Information Disclosure Statement (2 pages);
- 2. Form PTO/SB/08a (1 page);
- 3. Certificate of Mailing by Express Mail (1 page); and
- Return Card

relating to the above application, were deposited as "Express Mail" under 37 CFR § 1.10, Mailing Label No. EV 759036199 US, with the United States Postal Service addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March  $\nearrow$ , 2006.

Mailer's Signature

Print Name: Jane M. Lalis

EV759036199US

Dorsey & Whitney LLP

Tel: 303-629-3400 Fax: 303-629-3450

PTO	/SB/08a	(08-03)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Substitute for Form 1449A/PTO

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

APPLICATION NO.:	FILING DATE:
09/939,345	August 24, 2001
APPLICANT:	ART UNIT:
Teruo Umemoto	1746
EXAMINER NAME:	ATTY. DOCKET NO.:
Crepeau, Jonathan	1999/US

### **U.S. PATENT DOCUMENTS**

EXAMINER Cite INITIALS* No.1			DATE  MM-DD-YYYY	Name of Patentee of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	(if known)		Danaga at al	
		4,009,151	02/22/1977	Pearson et al.	
			<del> </del>		
	-,.				

	FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Country Code <sup>3</sup> – Number <sup>4</sup> – Kind Code <sup>3</sup> (if known)	PUBLICATION DATE MM-DD-YYYY	Name of PATENTEE or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

EXAMINER Cite No.2		Include name of Author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
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XAMINER		DATE CONSIDERED				

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See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English that the control of the patent document. language Translation is attached.

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<sup>2</sup> Applicant's unique citation number (optional).
<sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.